

Practitioner's Docket No. 1617.16C

Preliminary Classification:  
Proposed Class: n/a  
Subclass: n/a

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor(s): Mitsuru KANEKO

For (title): CONTINUOUS CERAMIC COMPOSITE PLATING METHOD AND APPARATUS  
FOR LONG DOCTOR BASE MATERIALS

**CERTIFICATION UNDER 37 C.F.R. SECTIONS 1.8(a) AND 1.10\***  
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Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

**MAILING**

☐ deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents,  
Washington, D.C. 20231.  
**37 C.F.R. Section 1.8(a)**

**37 C.F.R. Section 1.10\***

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Mailing Label No. **EV 032345970 US**

**TRANSMISSION**

☐ transmitted by facsimile to the Patent and Trademark Office (703)

Date: 28 February 2002

*Lia H. Costello*  
Lia H. Costello, Legal Assistant

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"Since the filing of correspondence under [Section] 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will **not** be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

(New Application Transmittal--page 1 of 5)

**1. Type of Application**

This transmittal is for a divisional application.

**2. Benefit of Prior U.S. Applications (35 U.S.C. Sections 119(e), 120, or 121)**

The new application being transmitted claims the benefit of prior U.S. applications. Enclosed are  
**ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATIONS CLAIMED.**

**3. Papers Enclosed**

**A.** Required for filing date under 37 C.F.R. 1.53(b) (Regular) or 37 C.F.R. 1.153 (Design) Application

18 Page(s) of Specification

3 Page(s) of Claims

4 Sheet(s) of Drawing(s)--Formal

**B.** Other Papers Enclosed

3 Page(s) of declaration and power of attorney

1 Page(s) of abstract

**4. Additional Papers Enclosed**

Preliminary Amendment

**5. Declaration or Oath**

Enclosed. Executed by inventor.

**6. Inventorship Statement**

The inventorship for all the claims in this application is the same.

**7. Language**

English

**8. Assignment**

An assignment of the invention to NIHON NEW CHROME CO., LTD. will follow.

9. **Fee Calculation (37 C.F.R. Section 1.16)**

Regular Application

CLAIMS AS FILED					
Claims	Number Filed	Basic Fee Allowance	Number Extra	Rate	Basic Fee 37 CFR 1.16(a) \$740.00
Total Claims (37 CFR 1.16(c))	2	- 20 =	0 x	\$18.00	\$0.00
Independent Claims (37 CFR 1.16(b))	2	- 3 =	0 x	\$80.00	\$0.00
Multiple Dependent Claim(s), if any (37 CFR 1.16(d))			+	\$270.00	\$0.00

Amendment cancelling extra claims is enclosed.

Filing Fee Calculation

10. **Small Entity Statement(s)**

Status as small entity was claimed in prior application 08964246, filed on November 4, 1997, from which benefit is being claimed for this application under 35 U.S.C. SECTION 121, and which status as a small entity is still proper and desired.

Filing Fee Calculation (50% of above)

\$370.00

11. **Fee Payment Being Made at This Time**  
Enclosed

Filing Fee

\$370.00

**Total Fees Enclosed**

**\$370.00**

**12. Method of Payment of Fees**

Charge Account No. 13-1992 in the amount of \$370.00.  
A duplicate of this transmittal is attached.

**13. Authorization to Charge Additional Fees**

The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Account No. 13-1992.

37 C.F.R. Section 1.16(a), (f) or (g) (filing fees)

37 C.F.R. Section 1.16(b), (c) or (d) (presentation of extra claims)

37 C.F.R. Section 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)

37 C.F.R. Section 1.17(a)(1)-(5) (extension fees pursuant to SECTION 1.136(a))

37 C.F.R. Section 1.17 (application processing fees)

**14. Instructions as to Overpayment**

Credit Account No. 13-1992.

**ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF  
PRIOR U.S. APPLICATIONS CLAIMED**

**15. Relate Back**

Amend the specification by inserting, before the first line, the following sentence:

A. 35 U.S.C. Sections 120, 121 and 365(c)

*AX*  
*B1*  
"This application is a divisional of Application number 09/469,555 filed on December 22, 1999, which is a divisional of Application number 08/964,246 filed on November 4, 1997, now U.S. Patent No. 6,027,765."

**16. Relate Back--35 U.S.C. Section 119 Priority Claim for Prior Application**

The prior U.S. application(s), including any prior International Application designating the U.S., identified above in the section entitled, "Relate Back--35 U.S.C. Sections 120, 121 and 365(c)," in turn itself claim(s) foreign priority(ies) as follows:

<u>Country</u>	<u>Application Number</u>	<u>Filed</u>
Japan	9-83745	04/02/1997

The certified copy(ies) has (have) been filed on November 4, 1997, in prior application 08/964,246, which was filed on November 4, 1997.

**17. Further Inventorship Statement Where Benefit of Prior Application(s) Claimed**

- a. This application discloses and claims only subject matter disclosed in the prior application whose particulars are set out above and the inventor in this application is the same.

**18. Small Entity (37 C.F.R. Section 1.28(a))**

Applicant has established small entity status by the filing of a statement in parent application 08964246 on November 4, 1997.

**19. NOTIFICATION IN PARENT APPLICATION OF THIS FILING**

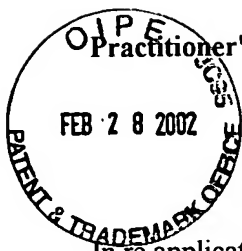
A notification of the filing of this divisional is being filed in the parent application, from which this application claims priority under 35 U.S.C. Section 120.

Date: 2/28/02

Dennis G. LaPointe  
Signature of Practitioner

Reg. No.: 40,693  
Tel. No.: 727-538-3800  
Customer No.: 24040

Dennis G. LaPointe  
Mason & Associates, P.A.  
17757 US Hwy 19 N., Suite 500  
Clearwater, FL 33764



Practitioner's Docket No. 1617.16C

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: KANEKO, Mitsuru

Application No.: Unknown

Group No.: N/a

Filed: 02/28/2002

Examiner: N/a

For: CONTINUOUS CERAMIC COMPOSITE PLATING METHOD AND APPARATUS FOR LONG DOCTOR BASE MATERIALS

Assistant Commissioner for Patents  
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" label number EV 032345970 US

Date of Deposit 02/28/2002

I hereby state that the following *attached* paper or fee

- New Application Transmittal
- Combined Declaration and Power of Attorney
- Preliminary Amendment
- Specifications, Claims, Abstract
- 4 Sheets Formal Drawings
- Notification Of Filing of Divisional Application
- Express Mail Certificate
- Post card

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. section 1.10, on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Lia H. Costello, Legal Assistant



Signature of person mailing paper or fee

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

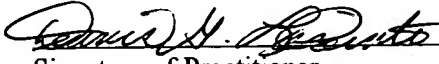
In re application of: KANEKO, Mitsuru  
Application No.: 09/469,555 Group No.: 1734  
Filed: December 22, 1999 Examiner: B. Lamb  
For: CONTINUOUS CERAMIC COMPOSITE PLATING METHOD AND APPARATUS FOR LONG  
DOCTOR BASE MATERIALS

Assistant Commissioner for Patents  
Washington D.C. 20231

NOTIFICATION OF FILING OF CONTINUING, DIVISIONAL  
OR CONTINUED PROSECUTION APPLICATION

Notification is hereby being made of the filing of a divisional application for this case concurrently  
herewith.

Date: 2/28/02

  
Signature of Practitioner

Reg. No.: 40,693  
Tel. No.: 727-538-3800  
Customer No.: 24040

Dennis G. LaPointe  
Mason & Associates, P.A.  
17757 US Hwy 19 N., Suite 500  
Clearwater, FL 33764

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